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Re application of:

Rei NISHIOKA

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Title: METHOD AND APPARATUS FOR GENERATING SURROUND-SOUND DATA

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

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Transmitted herewith for filing is the patent application identified above.

☒ 7 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.☒ 15 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☒ An assignment of the invention to ROHM CO., LTD. ☒ is enclosed ☐ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.☒ A certified copy of Japanese Patent Application No. 11-326250 filed November 17, 1999 from which priority is claimed under 35 U.S.C. § 119 is enclosed.☐ IDS enclosed (☐ with        reference(s)).☐ Preliminary Amendment is enclosed.☒ Return postcard is enclosed.

## CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS	NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	5	-20	LG=\$18 SM=\$9	\$18	0
B INDEPENDENT CLAIMS FEE*	2	-3	LG=\$80 SM=\$40	\$80	0
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					0
D MULTIPLE-DEPENDENT CLAIMS FEE			LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$ 0
E BASIC FEE			LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355		\$ 710
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$ 710
G ASSIGNMENT RECORDING FEE				\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1, 2					

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☒ A check in the amount of \$ 710 to cover the filing fee is enclosed.☒ A check in the amount of \$ 40.00 to cover Assignment Recording fee is enclosed.☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**☒ Any additional filing fees required under 37 C.F.R. 1.16☒ Any patent application processing fees under 37 C.F.R. 1.17Respectfully submitted,  
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## METHOD AND APPARATUS FOR GENERATING SURROUND-SOUND DATA

### FIELD OF THE INVENTION

The invention relates to a method and an apparatus for processing digital data of a music for example, and more particularly, to a circuit for generating a surround-sound or echo by means of a memory for delaying the surround-sound data.

### BACKGROUND OF THE INVENTION

A typical conventional circuit for generating a surround-sound (hereinafter referred to as surround-sound circuit) includes a RAM 11 (hereinafter referred to as delay RAM) and a digital signal processing circuit 12, as shown in Fig. 1. The delay RAM 11 and the digital signal processing circuit 12 are provided to generate a surround-sound as follows.

The audio input signal IN input to the digital signal processing circuit 12 is first written in the delay RAM 11, as seen in Fig. 1, for holding the data for a predetermined period of time, from which the signal is read out at the end of the period and added to the current input signal IN as a surround-sound signal.

Such a conventional surround-sound circuit as shown in Fig. 1 has an obvious drawback that the audio input signal IN is directly written in the delay RAM 11, so that the delay RAM 11 must have a disadvantageously large storage capacity.

Fig. 2 shows another conventional surround-sound circuit which includes a down-sampling converter 23 for lopping off part of the audio input signal IN to reduce the sampling frequency to one half of the original

frequency, for example, of the audio input signal IN before writing the audio input signal IN in the delay RAM 21. The surround-sound circuit is also provided with an over-sampling converter 24 which steps up the sampling frequency of the down-sampled data when the down-sampled data is retrieved from the delay RAM 21 a predetermined time later. The over-sampling is made by interpolating the down-sampled data. The interpolated data is then added to the current audio input signal IN as a surround-sound signal. This approach is useful in reducing the required storage capacity of the delay RAM 21. However, the surround-sound is much degraded compared with the original audio input signal due to the down-sampling and the over-sampling.

Thus, conventional surround-sound circuits have disadvantages in that they require a large memory to store the audio input signals IN or they must tolerate degradation of the surround-sound caused by lopping of data.

It is therefore an object of the invention to provide a method and an apparatus for generating a high-quality surround-sound signal with a greatly reduced storage capacity RAM, without lopping the audio input signal.

## SUMMARY OF THE INVENTION

In accordance with one aspect of the invention, as pointed out in claim 1, there is provided a method of generating surround-sound data including steps of: storing a digital input/output signal in a memory; retrieving said input/output signal stored from said memory a predetermined time later; and adding said retrieved input/output signal to said digital input signal to generate an output signal, said method characterized in that:

said input/output signal to be stored in said memory is compressed by

digital compression means before said input/output signal is stored in said memory; and

said input/output signal retrieved from said memory is expanded by digital expansion means before said input/output signal is added to said output signal.

In accordance with another aspect of the invention, as pointed out in claim 2, there is provided an apparatus for generating a surround-sound signal from a digital signal input thereto, and providing an output signal derived from said input signal, said apparatus comprising:

digital compression means for compressing said input/output signal;

a memory for storing said compressed input/output signal until said compressed input/output signal is retrieved a predetermined time later;

digital expansion means for expanding said compressed input/output signal retrieved from said memory;

an adder for adding said expanded input/output signal to the current input signal.

Thus, the invention pointed out in claims 1 and 2 effectively provides a surround-sound or echo for an input musical digital signal for example by compressing a signal tapped from the input signal and storing the compressed signal in a delay memory, retrieving and expanding the compressed signal a predetermined time later, and adding the expanded signal to the input signal. It can be understood that the invention advantageously reduces a great deal the amount of data to be stored in the memory without loping or degrading the input digital signal.

In accordance with a further aspect of the invention, as pointed out in claim 3, the surround-sound signal generating apparatus may comprise a differential pulse code modulation (DPCM) encoder as the digital

compression means, and a DPCM decoder as the digital expansion means.

Use of such a DPCM encoder as the digital compressor and a DPCM decoder as the digital expander enables minimization of the necessary storage capacity of the memory used and of degradation of the surround-sound signal.

The surround-sound signal generating apparatus of the invention as pointed out in claim 2 may further comprise a delay time controller for generating a delay time instruction, so that said predetermined time for retrieving said compressed input/output signal from said memory is controlled by said delay time instruction, as pointed out in claim 4.

In the surround-sound signal generating apparatus of the invention, the number of data bits output from said digital compression means may be varied in accordance with said delay time instruction, as pointed out in claim 5.

Thus, the surround-sound of the invention can be controlled by a user as he wishes by controlling the delay time controller. Furthermore, the memory can be effectively utilized within a given capacity in storing larger bits of data through adjustment of the data bits of the output of the compression means based on the delay time. Allowance of larger data bits helps to minimize the degradation of the surround-sound signal.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of a conventional surround-sound circuit.

Fig. 2 is a block diagram of another conventional surround-sound circuit.

Fig. 3 is a block diagram of a surround-sound circuit according to the invention.

Fig. 4 is a block diagram of an adaptive differential pulse code modulation (ADPCM) encoder for use in the invention.

Fig. 5 is a block diagram of an adaptive differential pulse code modulation (ADPCM) decoder for use in the invention.

Fig. 6 is a block diagram of a major section of a delay controller of the invention.

Fig. 7 is a graphical representation of the relationship between the delay time and the number of bits of a compressed signal according to the invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring now to Fig. 3, there is shown a surround-sound circuit of the invention. The circuit receives a digital audio input signal IN and generates a digital audio output signal OUT which includes a surround-sound signal generated from the audio output signal OUT and added to the input signal IN a predetermined time later as described in detail below.

To generate the surround-sound signal, the audio output signal OUT is tapped into a pre-processing circuit 35, where low-frequency components of the tapped signal are removed since they are not necessary for the surround-sound. The pre-processed audio output signal is then compressed in a prescribed manner by a digital compression circuit 32 to reduce the amount of data associated with the audio input signal received. The compressed audio output signal OUT is transferred from the compression circuit 32 into a delay RAM 31.

The compressed audio output signal OUT stored in the delay RAM 31 is retrieved therefrom a predetermined time later into a digital expansion circuit 33, where the data is expanded and restore the original form of the

audio output signal. The data restored by the expansion circuit 33 is passed to a filter 36 for filtering out frequency components that are not necessary for the surround-sound, and then to a gain control circuit 37 for controlling the gain of the surround-sound circuit. The data is then supplied to one input end of an adder 38 so that it is added to the current audio input signal IN as a surround-sound signal. The gain control circuit 37 is provided to control the level of the surround-sound, so that a user can control the surround-sound effect as he wishes.

In the adder 38, the audio input signal IN and the output of the gain control circuit 37, i.e. the surround-sound signal, are added together, forming the audio output signal OUT. It is noted that the pre-processing circuit 35, the filter 36, the gain control circuit 37, and the adder 38 constitute a digital signal processing circuit 34.

In this manner, in generating the audio output signal OUT having a surround-sound or echo, the audio output signal OUT is processed by the pre-processing circuit 35, compressed by the compression circuit 32, stored in the delay RAM 31, and output from the delay RAM a predetermined delayed time later.

In general, the storage capacity required for the delay RAM 31 depends on the delay time, i.e. the period of time for data to be stored in the memory, and the data rate, i.e. the amount of data received by the memory per unit time.

The delay time can be also controlled by the user for his preference. Appropriate delay time is in the range from about 5 ms to 100 ms, so that the delay RAM 31 preferably has a storage capacity to hold data for an anticipated maximum delay time of about 100 ms.

It should be understood that the total amount of data received per unit

time is enormous, so that the storage capacity of the delay RAM must be very large if it must store therein the entire data. On the other hand, if the data is reduced by lopping to one half, say, to the size of the data received, the data will suffer degradation when it is restored to the original size by interpolation.

The invention avoids direct reduction of data by lopping, but instead carries out indirect reduction of data through compression by means of the compression circuit 32 and subsequent expansion of the data by the expansion circuit 33, as shown in Fig. 3, thereby minimizing the degradation of the data.

The degree of compression attained by a compression circuit used is a trade-off between the requirement of storage capacity and the cost for the storage capacity of the delay RAM 31. The invention employs adaptive differential pulse code modulation (ADPCM) for compression (and hence expansion) of data to minimize the amount of data to be stored in the delay RAM 31 while minimizing deterioration of the data, so that an ADPCM encoder and an ADPCM decoder are used as the compression circuit 32 and the expansion circuit 33, respectively.

It is noted that although the digital output signal OUT is used as a source of the surround-sound signal in the example shown in Fig. 3., the digital input signal IN can be alternatively used as the source of the surround-sound signal.

Referring to Figs. 4 through 7, details of a surround-sound generation apparatus of the invention having a compression circuit 32 in the form of ADPCM encoder and an expansion circuit 33 in the form of ADPCM decoder will now be described below.

Fig. 4 shows an ADPCM encoder adapted to receive 16 bit PCM input



data, which is compressed in data size, through quantization of a predictive error therefor, to data having a number of bits in the range from 4 to 8 before it is stored in the RAM 31.

To do this, 16 bit PCM input data is first entered in four prediction circuits 41-1 - 41-4. Each of the prediction circuits 41-1 - 41-4 is a second order finite impulse response (FIR) filter comprising two delay circuits, two factor multiplication circuits, two adders, and a peak hold circuit. These prediction circuits 41-1 - 41-4 have different frequency characteristics.

Each of the prediction circuits 41-1 - 41-4 provides, for each sample, second order prediction data which is obtained by subtracting the current input data from the delayed input data multiplied by the multiplication factor of the prediction circuit. Each of the prediction circuits 41-1 - 41-4 also provides, for each sound unit (containing 28 samples in the example shown herein), prediction circuit control information and step-size control information (such as for example maximum value of the predicted data). The information issued from each of the prediction circuits 41-1 - 41-4 is supplied to an adaptation controller 49 in order to select the most appropriate prediction circuit that offers the best prediction, that is, the least difference. The selection is performed at a regular time interval (e.g. for every sound unit comprising 28 data samples). The magnitude of the prediction data can differ from one prediction circuit to another, but normally they are sufficiently small.

In order to perform appropriate encoding, a switch 42 is connected with the prediction data terminal of the prediction circuit selected by the adaptation controller 49. At the same time, the step-size control information R and the prediction circuit control information F are also provided on the respective information lines by the adaptation controller 49.

The selected prediction data is supplied to an adder 43 where the noise-shaved data fed back from a noise shaving filter 48 is subtracted from the predicted data. The resultant data is then quantized by a quantizer 45 in collaboration with a variable amplifier 44, as described below.

In the variable amplifier 44, the noise-shaved data is amplified to a required level based on the step-size control information R before it is supplied to the quantizer 45. It is noted that the number of bits of the data under processing remains unchanged (16 bits) so far, irrespective of the magnitude of the input PCM data.

The quantizer 45 rounds down the data it receives to a number having 4-8 digits by taking the upper most 4-8 digits of the data in accordance with an externally supplied bit number designation instruction  $\alpha$ .

It is noted that the noise shaving filter 48 is a second order FIR digital filter comprising two delay circuits, two factor multiplication circuits controlled by the prediction circuit control information F, and an adder. The noise shaving is performed to mask quantization noises (generated during the quantization of the data) by feeding them back to the quantizer 45. To do this, the input and the output signals of the quantizer 45 are supplied to an adder 46 to calculate the difference between them, which difference is attenuated by an attenuator 47 based on the step-size control information R and supplied to the noise-shaving filter 48.

Compressed data D, output from the quantizer 45 for every sample as the output of the ADPCM encoder, is supplied to the RAM 31 for each sound unit, together with the prediction circuit control information F and the step-size control information R. The data D may be accompanied by identification information for identifying a given bit number designation instruction  $\alpha$  stored in the RAM 31, as needed.

Fig. 5 shows the structure of an ADPCM decoder of the invention, which expands the compressed data, retrieved from the RAM 31 and having 4-8 bits in accord with a given bit setting instruction  $\alpha$ , to the original 16 bit PCM data.

To do this, the data D (having  $\alpha$  bits) for each sample is sequentially read out from the RAM 31 and entered in the ADPCM decoder shown in Fig. 5 together with the prediction circuit control information F and the step-size control information R for the sound unit to which the data D belongs.

The decoder shown in Fig. 5 performs inverse quantization of the supplied data D (having  $\alpha$  bits), expanding the data back to 16 bit data in accordance with the prediction circuit control information F and the bit number designation instruction  $\alpha$  as follows. The inverse quantization is the inverse operation to the quantization described in connection with Fig. 4, carried out by an inverse quantizer 51 and an attenuator 52 having variable attenuation. That is, the inverse quantizer 51 adds to the supplied data D a required number ( $16 - \alpha$ ) of lower bits, converting the data D to a 16 bit data based on the bit number designation instruction  $\alpha$ . In accordance with the step-size control information R, the attenuator 52 attenuates the 16 bit data supplied thereto. The output of the attenuator 52 corresponds to the difference data output from associated one of the prediction circuits of the encoder shown in Fig. 4.

The output of the attenuator 52 is supplied to a second order infinite impulse response (IIR) digital filter 53. The IIR digital filter 53 consists of delay circuits 54 and 55, factor multiplication circuits 56 and 57, and adders 58 and 59. The two factor multiplication circuits 56 and 57 are provided with the same prediction circuit control signal F as provided to the currently selected one of the prediction circuits 41-1 - 41-4.

The output of the attenuator 52 is decoded by the IIR digital filter 53 to a 16 bit output PCM data.

Incidentally, since the inverse quantizer 51 simply adds to the data D the predetermined number  $(16 - \alpha)$  of lower bits, the inverse quantizer 51 is preferably incorporated in the attenuator 52. In this case, the attenuator 52 performs the attenuation and the inverse quantization described above.

The attenuator 52 may be controlled not only by the step-size control information R but also by a gain control signal as set by the user in a manner he wishes. In this case, the gain control circuit 37 of Fig. 3 can be omitted.

Referring to Figs. 6 and 7, a delay control procedure of the invention will now be described. Fig. 6 shows major portion of the delay control circuit. Fig. 7 shows the delay time of the delay RAM 31 as a function of the number of bits set for the compression circuit 32 (ADPCM encoder) and the expansion circuit 33 (ADPCM decoder).

A delay controller 61 shown in Fig. 6 issues a delay time instruction defining a period of time for the surround-sound signal to stay in the RAM 31 (between the time the data is output from the ADPCM encoder 32 and the time the data is retrieved from the RAM 31). The delay time instruction issued from the delay controller 61 is supplied to a memory control circuit 63 which causes the compressed data to be stored in the RAM 31 and retrieved therefrom as instructed by the delay time instruction.

At the same time, the delay time instruction issued by the delay controller 61 is also supplied to a bit setting circuit 62. The bit setting circuit 62 establishes a bit setting instruction  $\alpha$  indicative of the number of bits  $\alpha$  that will survive in the quantization operation executed by the quantizer 45 of the ADPCM encoder 32 in response to the delay time

instruction received from the delay controller 61. The bit setting instruction  $\alpha$  is also supplied to the inverse quantizer 51 of the ADPCM decoder 33. The bit setting instruction  $\alpha$  is also supplied to a memory control circuit 63 when writing and reading the compressed data to/from the RAM 31.

It will be understood that the bit setting instruction  $\alpha$  need not be provided to the inverse quantizer 51 of the decoder 33 if an identification information for identifying the bit setting instruction  $\alpha$  in the RAM 31, is stored in the RAM 31 together with the compressed data D output from the ADPCM encoder.

The bit setting instruction  $\alpha$  is established by the bit setting circuit 62 such that a larger number of bits  $\alpha$  is set step-wise for a shorter delay time as instructed by the delay controller 61, as shown in Fig. 7.

The required storage capacity of the RAM 31 depends on the delay time (i.e. period of time that the data be stored in the RAM 31) and the amount of data input to the circuit per unit time. Consequently, the RAM 31 preferably has a sufficient storage capacity for storing a prescribed number of bits (4 bits for example) of data required for a desirable surround-sound for any anticipated delay time (ranging from 10 ms to 100 ms for example).

It should be noted that if a short delay time is set, the RAM 31 has a good margin in memory.

Therefore, the surround-sound circuit of the invention has a feature that, in addition to provision of a sufficient storage capacity of the RAM 31 to hold any anticipated data for a maximum anticipated delay time, the memory control circuit is adapted to set a larger number of bits for a shorter delay time, thereby reducing on one hand the quantization errors made in

the encoder 32 and on the other hand allowing efficient use of the RAM 31.

The surround-sound obtained by the ADPCM compression and expansion according to the invention has substantially the same quality as that of ordinary analog records and cassette tapes, which is good enough for a surround-sound since the surround-sound has a minor level than the audio input signal IN and is always superposed on the latter signal.

The data compression ratio of the circuit depends on several parameters involved in the compression. In the example shown herein, the data is compressed to 1/4 or less of the original data.

It would be clear that the invention can be applied to a stereo sound system equally well. In that case, the user can control the balancing ratio of the surround-sound in the right channel R to that in the left channel L, the level of the surround-sound in a bass region as well as in a treble region, as he wishes.

Although the invention has been described with particular reference to a certain preferred embodiment, variations and modifications of the present invention can be effected within the scope of the invention. For example, the ADPCM compression and expansion may be replaced by DPCM compression and expansion and other digital compression and expansion techniques.

## CLAIMS

What we claim is:

1. A method of generating surround-sound data including steps of: storing digital input/output signal in a memory; retrieving said input/output signal stored in said memory a predetermined time later; and adding said retrieved input/output signal to said digital input signal to generate an output signal, said method characterized in that:

said input/output signal to be stored in said memory is compressed by digital compression means before said input/output signal is stored in said memory; and

said input/output signal retrieved from said memory is expanded by digital expansion means before said input/output signal is added to said output signal.

2. An apparatus for generating a surround-sound signal from a digital signal input thereto, and providing an output signal derived from said input signal, said apparatus comprising:

digital compression means for compressing said input/output signal;

a memory for storing said compressed input/output signal until said compressed input/output signal is retrieved a predetermined time later;

digital expansion means for expanding said compressed input/output signal retrieved from said memory;

an adder for adding said expanded input/output signal to the current input signal.

3. The apparatus according to claim 2, wherein said digital compression

means is a differential pulse code modulation (DPCM) encoder, and said digital expansion means is a DPCM decoder.

4. The apparatus according to claim 2, further comprising a delay time controller for generating a delay time instruction, wherein said predetermined time for retrieving said compressed input/output signal from said memory is controlled by said delay time instruction.

5. The apparatus according to claim 4, wherein the number of data bits output from said digital compression means is varied in accordance with said delay time instruction.



METHOD AND APPARATUS  
FOR GENERATING SURROUND-SOUND DATA

ABSTRACT OF THE DISCLOSURE

A surround-sound circuit has a delay time memory (31) for storing a digital audio input signal IN, and outputs the stored input signal as a surround-sound signal a predetermined time later. The input signal is compressed by a compressor (32) in the form of ADPCM encoder before it is stored in the memory (31), and the compressed signal retrieved from the memory is expanded by an expander (33) in the form of ADPCM decoder before it is added to the input signal. The invention may reduce appreciably the amount of data to be stored in the memory, and hence the storage capacity of the RAM, without directly loping the audio input signal IN and hence avoiding appreciable deterioration of the signal IN.

FIG. 1

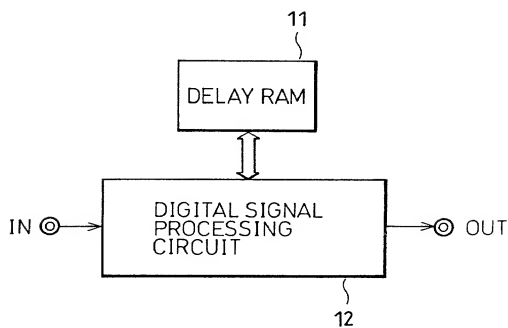


FIG. 2

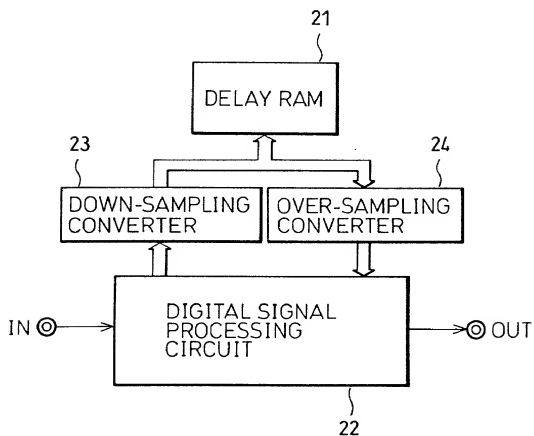


FIG. 3

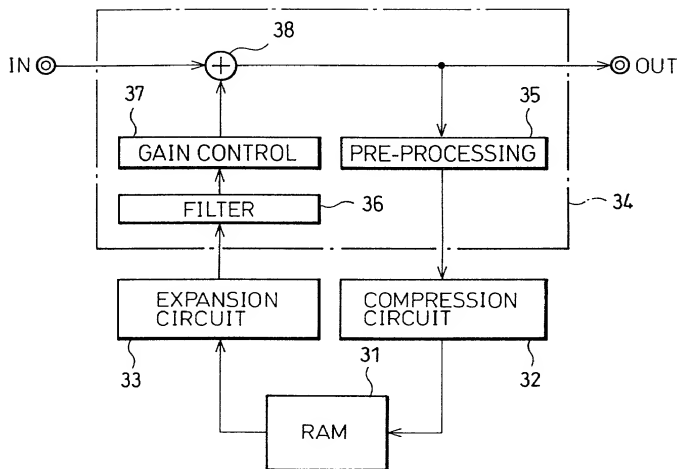


FIG. 4

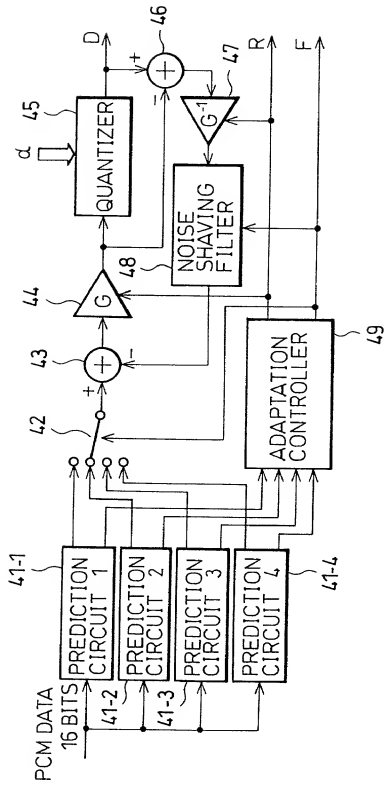


FIG. 5

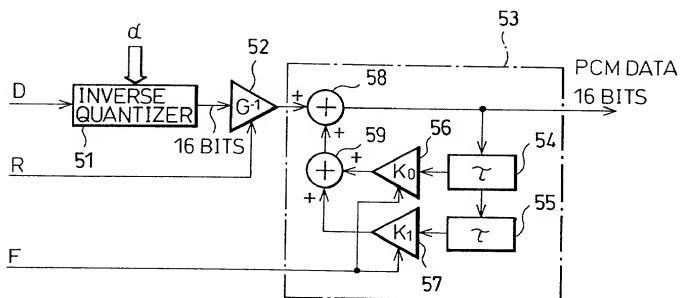


FIG. 6

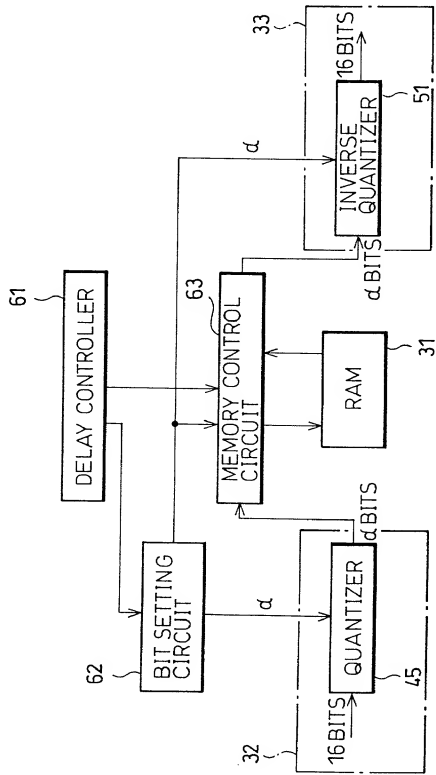
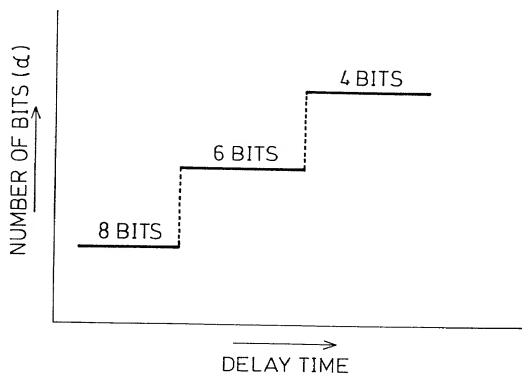


FIG. 7





Attorney's Ref. No.:

# Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

## Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は、下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### METHOD AND APPARATUS FOR GENERATING SURROUND-SOUND DATA

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 年 月 日に提出され、米国出願番号または  
特許協定条約 国際出願番号を \_\_\_\_\_ とし、  
（該当する場合） \_\_\_\_\_ に訂正されました。

☐ was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above

私は、連邦規則法典第37編第1章56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Page 1 of 7

# Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基き下記の、米国外の国の少なくとも1ヶ国を指定している特許協力条約365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明特許の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明特許の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)  
外国での先行出願

Priority Not Claimed  
優先権主張なし

11-326250 (Number) (番号)	Japan (Country) (国名)	17/11/1999 (Day/Month/Year Filed) (出願年月日)

私は、第35編米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code Section 119 (e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Application No.) (出願番号)	(Filing Date) (出願日)
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私は下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国外を指定している特許協力条約365条(c)に基き権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1.56条で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application:

(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)
(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私が入手した情報と私の信じることに基き表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon

# Japanese Language Declaration

## (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも1ヶ国を指定している特許協力条約365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

### Prior Foreign Application(s)

外国での先行出願

### Priority Not Claimed

優先権主張なし

(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願年月日)

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119 (e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Application No.) (出願番号)	(Filing Date) (出願日)

私は下記米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、逆形規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

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# Japanese Language Declaration

(日本語宣言書)

委任状: 私は、下記の発明者として、本出願に関する一切の手続きを米特許庁長官に対して進行する弁理士または代理人として、下記の者を指名いたします。(弁護士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

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